

The University of New Mexico
Board of Regents' Audit and Compliance Committee
November 5, 2015 – 9:00 AM
Roberts Room
Agenda

ACTION ITEMS

1. Confirmation of a Quorum and Adoption of Agenda
2. Approval of Meeting Minutes from August 18, 2015

EXECUTIVE SESSION

3. Vote to close the meeting and to proceed in Executive Session as follows:
 - a. Presentation of FY15 External Financial Audit pursuant to exceptions at Section 10-15-1H NMSA (1978) and Section 12-6-5 NMSA (1978) (*KPMG, Moss Adams, and Liz Metzger, University Controller*)
 - b. Discussion of draft Internal Audit Reports, and discussions of information subject to attorney-client privilege pursuant RPM 1.2
 - c. Discussion of limited personnel matters pursuant to exception at Section 10-15-1.H(2) NMSA (1978);
 - d. Schedule of Audits in Process and Proposed FY16 Audit Work plan, pursuant to RPM 1.2
 - e. Vote to re-open the meeting.
4. Certification that only those matters described in Agenda item # 3 were discussed in Executive Session and if necessary ratification of action, if any, taken in Executive Session

INFORMATION ITEMS

5. Advisors' Comments
6. Follow-Up Items from June 29, 2015 and August 18, 2015 Meetings
7. Main Campus Chief Compliance Officer Status Report (*Helen Gonzales, UNM Main Campus Chief Compliance Officer*) and Working Lunch
8. Status of Audit Recommendations (*Chien-chih Yeh, Internal Audit Manager*)
Implemented
Pending
9. Director of Internal Audit Status Report (*Manu Patel, Internal Audit Director*)
10. Adjournment